

RECEIVED

MAR 0 8 2002

TECH CENTER 1600/2900



#4

RAW SEQUENCE LISTING

DATE: 03/04/2002

PATENT APPLICATION: US/09/685,828A

TIME: 15:05:32

Input Set : N:\Crf3\RULE60\09685828A.txt

Output Set: N:\CRF3\03042002\I685828A.raw

SEQUENCE LISTING

6 (1) GENERAL INFORMATION:

9 (i) APPLICANT: KLEIN, ELLIOTT S.
 10 JOHNSON, ALAN T.
 11 STANDEVEN, ANDREW M.
 12 BEARD, RICHARD L.
 13 GILLETT, SAMUEL J.
 14 DUONG, TIEN T.
 15 NAGPAL, SUNIL
 16 VULIGONDA, VIDYASAGAR
 17 TENG TENG, MIN
 18 CHANDRARATNA, ROSHANTHA A.

ENTERED

20 (ii) TITLE OF INVENTION: METHODS OF IDENTIFYING COMPOUNDS

21 HAVING NUCLEAR RECEPTOR NEGATIVE HORMONE AND/OR

ANTAGONIST

22 ACTIVITIES

24 (iii) NUMBER OF SEQUENCES: 13

26 (iv) CORRESPONDENCE ADDRESS:

27 (A) ADDRESSEE: Allergan, Inc.
 28 (B) STREET: 2525 Dupont Drive
 29 (C) CITY: Irvine
 30 (D) STATE: CA
 31 (E) COUNTRY: USA
 32 (F) ZIP: 92623

34 (v) COMPUTER READABLE FORM:

35 (A) MEDIUM TYPE: Diskette
 36 (B) COMPUTER: IBM Compatible
 37 (C) OPERATING SYSTEM: Windows 95
 38 (D) SOFTWARE: FastSEQ for Windows Version 2.0

40 (vi) CURRENT APPLICATION DATA:

C--> 41 (A) APPLICATION NUMBER: US/09/685,828A

C--> 42 (B) FILING DATE: 10-Oct-2000

43 (C) CLASSIFICATION:

45 (vii) PRIOR APPLICATION DATA:

47 (A) APPLICATION NUMBER: 09/042,943

48 (B) FILING DATE:

50 (A) APPLICATION NUMBER: 08/928,552

51 (B) FILING DATE: 12-SEP-1997

53 (A) APPLICATION NUMBER: 08/613,863

54 (B) FILING DATE: 11-MAR-1997

56 (A) APPLICATION NUMBER: 08/542,648

57 (B) FILING DATE: 13-OCT-1995

59 (A) APPLICATION NUMBER: 08/522,779

60 (B) FILING DATE: 01-SEP-1995

RAW SEQUENCE LISTING

DATE: 03/04/2002

PATENT APPLICATION: US/09/685,828A

TIME: 15:05:32

Input Set : N:\Crf3\RULE60\09685828A.txt

Output Set: N:\CRF3\03042002\I685828A.raw

```

62      (A) APPLICATION NUMBER: 08/522,778
63      (B) FILING DATE: 01-SEP-1995
66      (viii) ATTORNEY/AGENT INFORMATION:
67          (A) NAME: Fisher, Carlos A
68          (B) REGISTRATION NUMBER: 36,510
69          (C) REFERENCE/DOCKET NUMBER: 17171CIP4(HL)
71      (ix) TELECOMMUNICATION INFORMATION:
72          (A) TELEPHONE: 714-246-4920
73          (B) TELEFAX: 714-246-4249
74          (C) TELEX:
77      (2) INFORMATION FOR SEQ ID NO: 1:
79          (i) SEQUENCE CHARACTERISTICS:
80              (A) LENGTH: 21 base pairs
81              (B) TYPE: nucleic acid
82              (C) STRANDEDNESS: single
83              (D) TOPOLOGY: linear
85          (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
87      TCAGGTCACC AGGAGGTCAG A                                21
89      (2) INFORMATION FOR SEQ ID NO: 2:
91          (i) SEQUENCE CHARACTERISTICS:
92              (A) LENGTH: 101 base pairs
93              (B) TYPE: nucleic acid
94              (C) STRANDEDNESS: single
95              (D) TOPOLOGY: linear
97          (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:
99      AGAAGCTTAT GGAAGCAATT ATGAGTCAGT TTGCGGGTGA CTCTGCAAAT ACTGCCACTC      60
100     TATAAAAGTT GGGCTCAGAA AGGTGGACCT CGAGGATCCA G                    101
102     (2) INFORMATION FOR SEQ ID NO: 3:
104         (i) SEQUENCE CHARACTERISTICS:
105             (A) LENGTH: 101 base pairs
106             (B) TYPE: nucleic acid
107             (C) STRANDEDNESS: single
108             (D) TOPOLOGY: linear
110         (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:
112     CTGGATCCTC GAGGTCCACC TTTCTGAGCC CAACTTTTAT AGAGTGGCAG TATTTGCAGA      60
113     GTCACCCGCA AACTGACTCA TAATTGCTTC CATAAGCTTC T                    101
115     (2) INFORMATION FOR SEQ ID NO: 4:
117         (i) SEQUENCE CHARACTERISTICS:
118             (A) LENGTH: 28 base pairs
119             (B) TYPE: nucleic acid
120             (C) STRANDEDNESS: single
121             (D) TOPOLOGY: linear
123         (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:
125     GTACAAGGTT CACGAGGTTT ACGTCTTA                                28
127     (2) INFORMATION FOR SEQ ID NO: 5:
129         (i) SEQUENCE CHARACTERISTICS:
130             (A) LENGTH: 16 base pairs
131             (B) TYPE: nucleic acid
132             (C) STRANDEDNESS: single

```

RAW SEQUENCE LISTING

DATE: 03/04/2002

PATENT APPLICATION: US/09/685,828A

TIME: 15:05:32

Input Set : N:\Crf3\RULE60\09685828A.txt

Output Set: N:\CRF3\03042002\I685828A.raw

```

133         (D) TOPOLOGY: linear
135     (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:
137 TCAGGTCATG ACCTGA
139 (2) INFORMATION FOR SEQ ID NO: 6:
141     (i) SEQUENCE CHARACTERISTICS:
142         (A) LENGTH: 24 base pairs
143         (B) TYPE: nucleic acid
144         (C) STRANDEDNESS: single
145         (D) TOPOLOGY: linear
147     (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:
149 CCACCCATGG CAAATTCCAT GGCA
151 (2) INFORMATION FOR SEQ ID NO: 7:
153     (i) SEQUENCE CHARACTERISTICS:
154         (A) LENGTH: 24 base pairs
155         (B) TYPE: nucleic acid
156         (C) STRANDEDNESS: single
157         (D) TOPOLOGY: linear
159     (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:
161 TCTAGACGGC AGGTCAGGTC CACC
163 (2) INFORMATION FOR SEQ ID NO: 8:
165     (i) SEQUENCE CHARACTERISTICS:
166         (A) LENGTH: 20 base pairs
167         (B) TYPE: nucleic acid
168         (C) STRANDEDNESS: single
169         (D) TOPOLOGY: linear
171     (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:
173 ACGCGTCCGG AAGACCTGGT
175 (2) INFORMATION FOR SEQ ID NO: 9:
177     (i) SEQUENCE CHARACTERISTICS:
178         (A) LENGTH: 20 base pairs
179         (B) TYPE: nucleic acid
180         (C) STRANDEDNESS: single
181         (D) TOPOLOGY: linear
183     (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:
185 ATTCTGCAGG TACATGTCCA
187 (2) INFORMATION FOR SEQ ID NO: 10:
189     (i) SEQUENCE CHARACTERISTICS:
190         (A) LENGTH: 36 base pairs
191         (B) TYPE: nucleic acid
192         (C) STRANDEDNESS: single
193         (D) TOPOLOGY: linear
195     (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:
197 TCTGTGCAAT TCATGTCAAG TTCAGGTTAT CCTCCC
199 (2) INFORMATION FOR SEQ ID NO: 11:
201     (i) SEQUENCE CHARACTERISTICS:
202         (A) LENGTH: 34 base pairs
203         (B) TYPE: nucleic acid
204         (C) STRANDEDNESS: single
205         (D) TOPOLOGY: linear

```

RAW SEQUENCE LISTING

DATE: 03/04/2002

PATENT APPLICATION: US/09/685,828A

TIME: 15:05:32

Input Set : N:\Crf3\RULE60\09685828A.txt

Output Set: N:\CRF3\03042002\I685828A.raw

207 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 11:
209 AGGCGAATTC GACTCCAGCG AGTCATGGTC CACC 34
211 (2) INFORMATION FOR SEQ ID NO: 12:
213 (i) SEQUENCE CHARACTERISTICS:
214 (A) LENGTH: 51 base pairs
215 (B) TYPE: nucleic acid
216 (C) STRANDEDNESS: single
217 (D) TOPOLOGY: linear
219 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:
221 AGAAGAGCTC ATCACCAAGG TCAGCAAAGG CGGTCAGGAG GCTTTCCCCT C 51
223 (2) INFORMATION FOR SEQ ID NO: 13:
225 (i) SEQUENCE CHARACTERISTICS:
226 (A) LENGTH: 28 base pairs
227 (B) TYPE: nucleic acid
228 (C) STRANDEDNESS: single
229 (D) TOPOLOGY: linear
230 (ii) MOLECULE TYPE: cDNA
232 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:
234 TAATGGATCC TGGTCAGGCT GGGGACTT 28

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/685,828A

DATE: 03/04/2002

TIME: 15:05:33

Input Set : N:\Crf3\RULE60\09685828A.txt

Output Set: N:\CRF3\03042002\I685828A.raw

L:41 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]
L:42 M:220 C: Keyword misspelled or invalid format, [(B) FILING DATE:]